PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

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UTILITY

Attorney Docket No.	14121US02	0
First Inventor	Chen	T L
Title	FIRMWARE UPDATE NETWORK AND PROCESS EMPLOYING PREPROCESSING TECHNIQUES	S.

DΔTI	PATENT APPLICATION		First Inventor	Chen	ᄱ		
	TRANSMITTAL		Title	FIRMWARE UPDATE NETWORK AND PROCESS EMPLOYING PREPROCESSING TECHNIQUES	U.S.		
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.	EL 848969232 US	54			
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.			ADDRESS TO:	Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	227		
	smittal Form (e.g., PTO/SB/17			CD-R in duplicate, large table or			
A 1i	original and a duplicate for fee processin t claims small entity status.	9)	Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission				
2 🖾 See 37 C	FR 1.27.		(if applicable, all necessary)				
3. Specification (preferred a	Ition [1] rrangement set forth below)	otal Pages 20]	a. Computer Readable Form (CRF)				
	ve title of the invention ference to Related Applications		b. Specification Sequence Listing on:				
-Reference	it Regarding Fed sponsored R&D e to sequence listing, a table, or a c	computer	i. CD-ROM or CD-R (2 copies); or				
program listing appendix -Background of the Invention			ii. 🔲 Pape	ī			
	cription of the Drawings (if filed) Description		c. Statements	verifying identity of above copies	1		
-Claim(s) -Abstract	of the Disclosure		ACCOMPANYING APPLICATION PARTS				
			9. Assignmen	t Papers (cover sheet & documents(s))		
4. Drawing((s) (35 U.S.C. 113)	otal Sheets 6]		(3(b) Statement Power of e is an assignee) Attorney			
5. Oath or Declara	tion [Total S	heets]	11. English Tra	inslation Document (if applicable)			
a. Newly executed (fax copy)			12. Information	Disclosure Copies of IDS (IDS)/PTO-1449 Citations	,		
b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)			13. Preliminar	y Amendment eipt Postcard (MPEP 503) specifically itemized)			
i DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) 15. Certified Copy of Priority Docume (if foreign priority is claimed)				riority is claimed)			
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				tion Request under 35 U.S.C. 122(b) pplicant must attach form PTO/SB/35 nt.	or		
6 Application	on Data Sheet. See 37 CFR 1	.76	17. Other:				
18. If a CONTINUI	NG APPLICATION, check app	propriate box, and	d supply the requisite info	ormation below and in the first sentenc	e of		
the specification fol	llowing the title, or in an Applic	ation Data Shee	t under 37 CFR 1.76:	pplication No.:			
5b, is considered a pa	OR DIVISIONAL APPS only: The ent	tire disclosure of the	n or divisional application an	– ch an oath or declaration is supplied under d is hereby incorporated by reference. The	Box		
incorporation can only	y be relied upon when a portion has		ly omitted from the submitted DENCE ADDRESS	d application parts.			
Customer Num	00446		OR _	Correspondence address below			
Name			······································				
Address							
City		State		Zip Code			
Country		Telephone		Fax			
Name (Print/type)	Kevin E. Borg		tion No. (Attorney/Agent)	51,486			
Signature	K. E.	3		Date August 22, 2003			





PTO/SB/17 (11-00)

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Complete if Known **FEE TRANSMITTAL Application Number** unassigned herewith Filing Date for FY 2003 Chen First Named Inventor unassigned **Examiner Name** Patent Fees are subject to annual revision. unassigned **Group Art Unit** 14121US02 TOTAL AMOUNT OF PAYMENT (\$)411.00 Attorney Docket No.

METHOD OF PAYMENT 1. ☐ The Commissioner is hereby authorized to charge indicated							
I. ☐ The Commissioner is nereby authorized to charge indicated.	foot and	FEE CALCULATION (continued) 3. ADDITIONAL FEES					
credit any overpayments to:	rees and	J. AL					
credit any overpayments to:			Large		Small Entity		
Deposit 40 0047		Fee	Fee	Fee	Fee		Fee
Account 13-0017	Į,	Code	(\$)	Code	(\$)	Fee Description	Paid
Donocit		1051	130	2051	65	Surcharge - late filing fee or oath	
Account McAndrews Held & Malloy		1052	50	2052	25	Surcharge - late provisional filing fee or cover	
Name	and 4.17					sheet	
	and 1.17	1053	130	1053	130	Non-English specification	
Applicant claims small entity status. See 37 CFR 1.27		1053	130	1055	130	Hon-English specification	
		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner	
Z Crieck - Credit Card - Miloney Order - E office						action	
FEE CALCULATION		1805	1,840	*1805	1.840*	Requesting publication of SIR after Examiner	
						action	
1. BASIC FILING FEE	1					Extracion for early within first month	
Large Entity Small Entity Fee Fee Fee Fee Description		1251	110	2251 2252	55 205	Extension for reply within first month Extension for reply within second month	
Code (\$) Code (\$)	ee Paid	1252 1253	410 930	2252	205 465	Extension for reply within second month	
	375.00	1253	1,450		725	Extension for reply within fourth month	-
l	-	1255	1,430		985	Extension for reply within fifth month	
	=	1401	320	2401	160	Notice of Appeal	
1003 520 2003 260 Plant filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee		1403	280	2403	140	Request for oral hearing	
1005 160 2005 80 Provisional filing fee		1451	1,510		1510	Petition to institute a public use proceeding	
	1	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1)	(\$)375.00	1453	1,300	2453	650	Petition to revive - unintentional	
		1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES		1502	470	2502	235	Design issue fee	
Fee from Extra Claims below F.	Fee Paid	1503	610	2503	315	Plant issue fee	<u> </u>
	36.00	1460	130	1460	130	Petitions to the Commissioner	ļ
Total Claims 24 - 20** = 4x 9.00 = 3	36.00	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Independent Claims 21.3** = 01x 42.00 = 0	0.00	1806	180	1806	180	Submission of Information Disclosure Stmt	<u> </u>
Claims I o	0.00	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
, .		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
Large Entity Small Entity Fee Fee Fee Fee Fee Description		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
Code (\$) Code (\$)	l	1801	750	2801	375	Request for Continued Examination (RCE)	
1202 18 2202 9 Claims in excess of 20	ļ	1802	900	1802	900	Request for expedited examination of a design	
	Independent claims in excess of 3					application	
		Other	fee (sp	ecify)			
1204 84 2204 42 **Reissue independent daims over original patent							
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent							
SUBTOTAL (2) (\$)36.00					[
			iced by	Basic F	iling Fee	Paid SUBTOTAL (3)	\$)0.00

SUBMITTED BY	<u> </u>				Complete (if applicable)
Name (Print/Type)	Kevin E. Borg	Registration No. (Attorney or Agent)	51,486	Telephone	312 775 8000
Signature	16. 8.	33		Date	August 22, 2003

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		SHAO-CHUN CHEN			
Title		UPDATE NETWORK AND PROCESS G PREPROCESSING			
Attv Do	ocket Number	14121US02			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

AUGUST 22, 2003

Date

Signature

Signature

KEVIN E. BORG

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, u.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.